### APS Rec'd PCT/FTO 24 APR 2005

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. PCT/EP2004/012092 22 C

INTERNATIONAL FILING DATE 22 October 2004 (22.10.2004)

ATTORNEY'S DOCKET NO.
KEL01 P-149
U.S. APPELICATION NO (III known, see 37 CFR
1.5)
PRIORITY DATE CLAIMED
25 October 2003 (25.10.2003)

23 December 2003 (23.12.2003) 27 January 2004 (27.01.2004)

TITLE OF INVENTION

LENTICULAR IMAGE DISPLAY APPARATUS

APPLICANT(S) FOR DO/EO/US

HUNT, Robert J.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) below.
- 4. The US has been elected (Article 31).
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - a. 

    is attached hereto (required only if not communicated by the International Bureau).
  - b. I has been communicated by the International Bureau.
  - c. I is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)):
  - a. I is attached hereto.
  - b. I has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):
  - a. I are attached hereto (required only if not communicated by the International Bureau).
  - b. I have been communicated by the International Bureau.
  - c. I have not been made; however, the time limit for making such amendments has NOT expired.
  - d. have not been made and will not be made.
- 8. In An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11. to 20. below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 1.825.
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amoun of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JAP20 Rec'd PCT/PTO 24 APR 2006

PTO-1390 (Rev. 07-2005)
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	\$ APPLICATION NO. (if known, see 37 CFR 1.5)  10/576911  INTERNATIONAL APPLICATION NO. PCT/EP2004/012092			ATTORNEY'S DOCKET NO. KEL01 P-149	
The following fees are	submitted:			Calculations	Office use only
21. ■ Basic national fee (37 CFR 1.492(a))\$300.00				\$ 300.00	
22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 200.00	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 400.00	
[] All other situations				\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number)					
- 100 =	/50 =	x	\$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than    [] 20   [] 30   months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$ 0.00	
Total claims	19 - 20 =	0	x \$ 50.00	\$ 0.00	
Independent claims	1 - 3 =	0	x \$ 200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 900.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$ 450.00	
SUBTOTAL =				\$ 450.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 450.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	<u> </u>
<del></del> .		TOTAL FEES	S ENCLOSED =	\$ 490.00	<b>-</b>
		·		Amount to be refunded:	\$
				charged:	\$

10/5/6911

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(signature)

Under the Paperwork Reduction Act of 1995, no persons are required

US APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP2004/012092

ATTORNEY'S DOCKET NO. KEL01 P-149

- a. A check in the amount of \$490.00 to cover the above fees is enclosed.
- Please charge my Deposit Account No. 22-0190 in the amount of \$\_\_.00 to cover the above fees.
   A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0190. A duplicate copy of this sheet is enclosed.
- d. I Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status

SEND ALL CORRESPONDENCE TO:

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Telephone: (616) 988-4104 Facsimile: (616) 975-5505 Date: April 24, 2006.

Frederick S. Burkhart

Registration No. 29 288

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

HUNT, Robert J.

For

LENTICULAR IMAGE DISPLAY APPARATUS

International Filing Date

22 October 2004

International Application No.:

PCT/EP2004/012092

Attorney Docket No.

KEL01 P-149

Customer No.

28101

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

### **CERTIFICATE OF EXPRESS MAIL**

I certify that the attached return postcard, Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (3 pages, in duplicate), Patent Application Bibliographic Data Form (2 pages), Declaration for Utility or Design Patent Application (37 C.F.R. 1.63) (2 pages), Preliminary Amendment (6 pages), copy of International Application No. PCT/EP2004/012092 (WO 2005/040913 A1) (50 pages), International Search Report (3 pages), twenty (20) sheets of drawings (in duplicate), Assignment, Assignment Recordation Form Cover Sheet (1 page, in duplicate); and a check in the amount of \$490.00 for the total filing fee are being deposited with the United States Postal Service as Express Mail in an envelope having Express Mail Label Number EV682821412US addressed to:

> Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on April 24, 2006.

Donna J. Raymakus

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Enc.